



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**Chanin M. Ballance, Francis A. Halpin,
James Dirksen and Dieter Waiblinger**

Application No. 09/970,151

Filed: October 2, 2001

For: **MACHINE EDITING SYSTEM INCORPORATING
DYNAMIC RULES DATABASE**

Date: October 15, 2001

TRANSMITTAL LETTER

TO THE COMMISSIONER FOR PATENTS:

Enclosed for filing in the above-referenced application are the following:


- ☒ Information Disclosure Statement
- ☒ Form PTO-1449, with a copy of the cited reference
- ☒ Return receipt postcard

The Commissioner is hereby authorized to charge any fees which may be required in connection with filing of these papers, or credit overpayment, to Account No. 19-4455.

Respectfully submitted,

**Chanin M. Ballance, Francis A. Halpin,
James Dirksen and Dieter Waiblinger**

By:


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Attorney Docket No. 35505/3:2



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INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

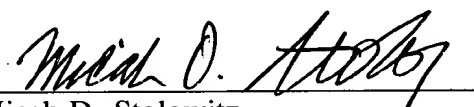
1. Pursuant to their duty of disclosure, applicants present for the Examiner's consideration the document listed on the accompanying Form PTO-1449. A copy of the document is enclosed.
2. This information disclosure statement is being submitted:
 - a. ☒ Within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.)
 - b. ☐ After the period set forth in paragraph 1a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
 - i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed.
 - ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below.
 - c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of an issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).

3. ☐ The attorney or agent signing below hereby states that:
- ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
4. ☐ Applicants set forth below concise explanations of the relevance of each document not in the English language and/or selected documents in the English language.

Respectfully submitted,

**Chanin M. Ballance, Francis A. Halpin,
James Dirksen and Dieter Waiblinger**

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

APPLICATION NO.
09/970,151INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)APPLICANTS: Chanin M. Ballance,
Francis A. Halpin, James Dirksen and
Dieter WaiblingerFILING DATE
October 2, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	9	0	3	8	5	8		5/11/99	Saraki	704	4	
	AB													
	AC													
	AD													
	AE													
	AF													
	AG													
	AH													
	AI													
	AJ													
	AK													

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
														YES	NO
	AL														
	AM														
	AN														
	AO														
	AP														

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	
	AS	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.